

**PATENT APPLICATION FEE DETERMINATION RECORD**

Effective October 1, 2000

Application or Docket Number

*09940582*

**CLAIMS AS FILED - PART I**

|   | (Column 1)           | (Column 2)   |
|---|----------------------|--------------|
| TOTAL CLAIMS  | <i>10</i>            |              |
| FOR   | NUMBER FILED         | NUMBER EXTRA |
| TOTAL CHARGEABLE CLAIMS                                   | <i>10</i> minus 20 = | <i>—</i>     |
| INDEPENDENT CLAIMS  | <i>3</i> minus 3 =   | <i>—</i>     |
| MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/> |                      |              |

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

|   | (Column 1)                       | (Column 2)                         | (Column 3)    |
|---|----------------------------------|------------------------------------|---------------|
| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total   | <i>13</i>                        | Minus <i>20</i>                    | <i>=</i>      |
| Independent   | <i>4</i>                         | Minus <i>3</i>                     | <i>= 4</i>    |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |                                  |                                    |               |

*8/31/05 Rce*

|   | (Column 1)                       | (Column 2)                         | (Column 3)    |
|---|----------------------------------|------------------------------------|---------------|
| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total   | <i>15</i>                        | Minus <i>20</i>                    | <i>=</i>      |
| Independent   | <i>9</i>                         | Minus <i>4</i>                     | <i>= 2</i>    |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |                                  |                                    |               |

|   | (Column 1)                       | (Column 2)                         | (Column 3)    |
|---|----------------------------------|------------------------------------|---------------|
| AMENDMENT C   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
| Total   |                                  | Minus <i>20</i>                    | <i>=</i>      |
| Independent   |                                  | Minus <i>3</i>                     | <i>=</i>      |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/> |                                  |                                    |               |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

| RATE      | FEE    |
|-----------|--------|
| BASIC FEE | 355.00 |
| X\$ 9=    |        |
| X40=      |        |
| +135=     |        |
| TOTAL     |        |

| RATE      | FEE        |
|-----------|------------|
| BASIC FEE | 710.00     |
| X\$18=    |            |
| X80=      |            |
| +270=     |            |
| TOTAL     | <i>710</i> |

SMALL ENTITY

OR OTHER THAN SMALL ENTITY

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$ 9=           |                |
| X40=             |                |
| +135=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$18=           |                |
| X80=             | <i>800</i>     |
| +270=            |                |
| TOTAL ADDIT. FEE | <i>800</i>     |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$ 9=           |                |
| X40=             |                |
| +135=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$18=           |                |
| X80=             | <i>400</i>     |
| +270=            |                |
| TOTAL ADDIT. FEE | <i>400</i>     |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$ 9=           |                |
| X40=             |                |
| +135=            |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| X\$18=           |                |
| X80=             |                |
| +270=            |                |
| TOTAL ADDIT. FEE |                |

United States Patent and Trademark Office  
- Sales Receipt -

09/08/2005 KVESTAL 00000001 193935 09940522

01 FC:1201 800.00 DA

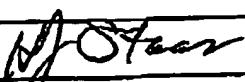
United States Patent and Trademark Office  
- Sales Receipt -

09/08/2005 KVESTAL 00000002 193935 09940522

01 FC:1201 400.00 DA

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S&amp;H Form: (12/04)

| REPLY/AMENDMENT<br>FEE TRANSMITTAL  |   | Attorney Docket No.                | 1359.1052               |                   |              |
|---|---|------------------------------------|-------------------------|-------------------|--------------|
|   |   | Application Number                 | 09/940,522              |                   |              |
|   |   | Filing Date                        | August 29, 2001         |                   |              |
|   |   | First Named Inventor               | Satoru WATANABE, et al. |                   |              |
|   |   | Group Art Unit                     | 2655                    |                   |              |
| AMOUNT ENCLOSED   |   | Examiner Name                      | James S. Wozniak        |                   |              |
| \$800.00  |   |                                    |                         |                   |              |
| <b>FEE CALCULATION (fees effective 12/08/04)</b>  |   |                                    |                         |                   |              |
| CLAIMS AS AMENDED   | Claims Remaining After Amendment  | Highest Number Previously Paid For | Number Extra            | Rate              | Calculations |
| TOTAL CLAIMS  | 13  | 20 =                               | 0                       | X \$ 50.00 =      | \$ 0.00      |
| INDEPENDENT CLAIMS  | 7   | 3 =                                | 4                       | X \$ 200.00 =     | 800.00       |
| Since an Official Action set an original due date of <u>December 20, 2004</u> , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$120)); (2 months (\$450)); (3 months (\$1,020)); (4 months (\$1,590)); (5 months (\$2,160)):   |   |                                    |                         |                   |              |
| If Notice of Appeal is enclosed, add (\$500.00)   |   |                                    |                         |                   |              |
| If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$150.00)  |   |                                    |                         |                   |              |
| Information Disclosure Statement (Rule 1.17(p)) (\$180.00)  |   |                                    |                         |                   |              |
| Total of above Calculations = \$ 800.00   |   |                                    |                         |                   |              |
| Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)   |   |                                    |                         |                   |              |
| TOTAL FEES DUE = \$ 800.00  |   |                                    |                         |                   |              |
| (1) If entry (1) is less than entry (2), entry (3) is "0".<br>(2) If entry (2) is less than 20, change entry (2) to "20".<br>(4) If entry (4) is less than entry (5), entry (5) is "0".<br>(5) If entry (5) is less than 8, change entry (5) to "8".  |   |                                    |                         |                   |              |
| <b>METHOD OF PAYMENT</b>  |   |                                    |                         |                   |              |
| <input type="checkbox"/> Check enclosed as payment.   |   |                                    |                         |                   |              |
| <input checked="" type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below.   |   |                                    |                         |                   |              |
| <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).   |   |                                    |                         |                   |              |
| <b>GENERAL AUTHORIZATION</b>  |   |                                    |                         |                   |              |
| <input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:<br>Deposit Account No. <u>19-3935</u><br>Deposit Account Name <u>STAAS &amp; HALSEY LLP</u>  |   |                                    |                         |                   |              |
| <input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application. |   |                                    |                         |                   |              |
| SUBMITTED BY: STAAS & HALSEY LLP  |   |                                    |                         |                   |              |
| Typed Name  | H. J. Staas   |                                    | Reg. No.                | 22,010            |              |
| Signature   |  |                                    | Date                    | December 20, 2004 |              |

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**CERTIFICATE OF FACSIMILE TRANSMISSION**  
I hereby certify that this correspondence is being transmitted via facsimile to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12-20-04 by STAAS & HALSEY LLP

**REQUEST FOR CONTINUED EXAMINATION (RCE)  
TRANSMITTAL****(INCLUDING FILING FEE AND/OR PETITION FOR  
EXTENSION OF TIME FEE)**

*Subsection (b) of 35 U.S.C. §132, effective May 29, 2000  
provides for continued examination of a utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA)*

**To: Commissioner for Patents  
Box RCE  
PO Box 1450  
Alexandria, VA 22313-1450**

**Attorney Docket No.:1359.1052**

|                             |   |                        |                  |
|-----------------------------|---|------------------------|------------------|
| <b>First Named Inventor</b> | Satoru WATANABE, et al.                               |                        |                  |
| <b>Application No.</b>      | 09/940,522  | <b>Group Art Unit</b>  | 2655             |
| <b>Filing Date</b>          | August 29, 2001                                       | <b>Examiner</b>        | WOZNIAK, JAMES S |
| <b>CPA Filing Date</b>      |   | <b>Confirmation No</b> | 4691             |
| <b>Title of Invention</b>   | VOICE INTERACTIVE SYSTEM AND VOICE INTERACTIVE METHOD |                        |                  |

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

1.



**Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2.

**Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of \_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).
- b. ☐ Other

|   |   |                         |
|---|---|-------------------------|
| <b>BASIC FEE</b>  |   | <b>\$ 790.00</b>        |
| Since an Official Action set an <u>original</u> due date of <u>August 31, 2005</u> ,<br>petition is hereby made for an extension of time to cover the date this<br>RCE is filed, for which the requisite fee is enclosed (1 month (\$120)); (2<br>months (\$450)); (3 months (\$1,020)); (4 months (\$1,590)); (5 months<br>(\$2,160)):   |   |                         |
| Suspension Fee (\$130.00)   |   |                         |
| Total of above Calculations =   |   | \$ 790.00               |
| Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28).   |   |                         |
| <b>TOTAL FEES DUE =</b>   |   | <b>\$ 790.00</b>        |
| 4. <input type="checkbox"/> Small entity status:<br>a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status.<br>b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such<br>status is still proper and desired.<br>c. <input type="checkbox"/> is no longer claimed.<br>5. <input type="checkbox"/> Other:   |   |                         |
| <b>6. METHOD OF PAYMENT</b>   |   |                         |
| <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$790.00</u> is enclosed.<br><input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is<br>enclosed.)  |   |                         |
| <b>7. GENERAL AUTHORIZATION</b>   |   |                         |
| <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees)<br>or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit<br>hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to:<br><b>Deposit Account No. 19-3935.</b> |   |                         |
| <b>8. CORRESPONDENCE ADDRESS</b>  |   |                         |
| STAAS & HALSEY LLP<br><br><b>21171</b><br><small>PATENT TRADEMARK OFFICE</small>   |   |                         |
| <b>9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED</b>   |   |                         |
| NAME  | H. J. Staas   | REGISTRATION NO. 22,010 |
| SIGNATURE   |  | DATE August 31, 2005    |